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PTO/SB/05 (03-01)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	44471-287603
Inventors	Kumiko Ohmori, Masanobu Higashida and Noriko Mizusawa
Title	Speech Recognition Based Interactive Information Retrieval Scheme Using Dialogue Control to Reduce User Stress
Express Mail Label No.	EV 041613541 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 113]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 36]
5. Oath or Declaration [Total Pages 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
Mailstop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

10/6/97 16:16  
21907 18 PTO  
07/15/03

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment:
  - a.  Assignment Papers (cover sheet & document(s))
  - b.  Assignment is of record in parent application No. 09/583,219
10.  37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/583,219  
 Prior application information: Examiner Abul K. Azad Group / Art Unit: 2654  
 Recite complete dependency back to first parent application: This is a divisional of U.S. Serial No. 09/583,219 filed on May 30, 2000, which claims priority of Japanese Application No. 11-150855 filed on May 31, 1999, Japanese Application No. 11-221820 filed on August 4, 1999, and Japanese Application No. 11-230216 filed on August 17, 1999

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label



23370

PATENT TRADEMARK OFFICE

Name (Print/Type)	Roger T. Frost	Registration No. (Attorney/Agent)	22,176
Signature		Date	July 15, 2003

07/15/03  
FEE TRANSMITTAL

Attorney Docket No. 44471-287603

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Kumiko Ohmori et al.**

Filing Date: **July 15, 2003**

Title: **Speech Recognition Based Interactive Information Retrieval Scheme Using Dialogue Control to Reduce User Stress**

The filing fee is calculated as shown below:

1. FILING FEE:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$375		\$750	750
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$260		\$520	
<input type="checkbox"/> REISSUE FILING FEE	\$375		\$750	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
	SUBTOTAL (1)	\$		\$750

2. CLAIMS:

FOR:	SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	42 -20 =	22	x 9 =	x 18 = 396
INDEP. CLAIMS	7 - 3 =	4	x 42 =	x 84 = 336
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		+140 =		+280 =
	SUBTOTAL (2)	\$		\$732

3. ADDITIONAL FEES:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
	SUBTOTAL (3)	\$		\$1482

**TOTAL FILING FEES: \$1,482.00**

A check is enclosed for the total amount: \$1,482.00

Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

KILPATRICK STOCKTON LLP  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309-4530  
Telephone: 404-815-6500

By: 

Date: July 15, 2003

Roger T. Frost, Attorney for Applicant  
Reg. No. 22,176